

# LEAN COMPLIANCE



**LEAD COMPLIANCE TRANSFORMATION  
THROUGH OPERATIONAL EXCELLENCE**

## LEADERSHIP WORKSHOP

**Full Day, On-line Live**

**Feb 11, 2026**

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# LEAN COMPLIANCE LEADERSHIP WORKSHOP

Leading the Compliance 2.0 Transformation

## THE COMPLIANCE 2.0 TRANSFORMATION

While regulatory frameworks have evolved to performance and outcome-based obligations, most compliance programs remain trapped in Compliance 1.0—reactive approaches designed for a previous era. This creates the 'compliance effectiveness gap' – organizations struggle to meet modern regulatory demands with outdated methods.

This full-day online workshop on February 11th teaches essential foundations and operational practices needed to transition from Compliance 1.0 (procedural overhead) to Compliance 2.0 (operational capability)—turning compliance into organizational capabilities that drive mission success. Learn the breakthrough application of Promise Theory to manage obligations and discover the fundamental shift from traditional "compliance management" to "managed compliance."

## WORKSHOP STRUCTURE

This live, online workshop delivers 8 comprehensive modules in a full-day intensive format across two complementary sections:

### COMPLIANCE FOUNDATIONS

#### 1. Compliance Purpose

Establish compliance telos as mission success through Total Value creation, ensuring organizations stay on-mission, between the lines, and ahead of risk.

- Telos of Compliance: Ultimate purpose of ensuring mission success through effective regulation
- The Act of Regulation: Evolution from prescriptive rules to performance and outcome-based obligations
- Regulatory Design: Four paradigms requiring different capabilities: rules-based, practice-based, performance-based, outcome-based

#### 2. Operational Compliance

Define the complete spectrum of organizational commitments and apply Promise Theory as the foundation for accountability and stakeholder trust.

- Obligations: Complete spectrum including legal license (regulatory) and social license (stakeholder) commitments
- Promises: Promise Theory framework transforming policies into voluntary commitments for organizational integrity
- Obligation Owners: Individuals with delegated authority to answer for compliance outcomes within specific scopes

#### 3. Compliance Cultures

Transform organizational mindset from reactive firefighting to proactive anticipation through cultural paradigm shifts.

- Culture and Climate: Shared values/beliefs versus day-to-day operational environments affecting compliance
- Cultures of Compliance: Bounded-set (rigid boundaries) versus centred-set (movement toward outcomes) approaches
- Compliance 1 and 2: Traditional reactive paradigm versus operational proactive paradigm transformation

#### 4. Uncertainty and Risk

Manage uncertainty as the compliance equivalent of manufacturing variation, systematically reducing waste through risk elimination.

- Nature of Uncertainty: Epistemic (knowledge gaps) versus aleatory (chance variability) requiring different responses
- Taxonomy of Risk: Systematic classification and management using ISO 31000 framework for compliance contexts
- Compliance Criticality: Critical-to-Compliance classification evaluating impact through four levels for resource allocation

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## OPERATIONAL PRACTICES

### 5. Managed Compliance

Implement Total Compliance Management (TCM) framework integrating compliance with all organizational management systems for Compliance 2.0 capability.

- Total Compliance Management (TCM): Unified framework elevating existing management systems to higher performance levels
- Policy Management: Hoshin Kanri methodology with catch-ball process for strategic policy deployment and alignment
- Performance Pillars: Three cross-cutting capabilities—Alignment (strategy to operations), Assurance (confidence in capability), Accountability (clear ownership)

### 6. Managed Operability

Engineer Compliance 2.0 systems for reliable performance through systematic design principles and minimum viable approaches.

- Concepts of Operability: Extent to which compliance functions are fit for purpose and achieve intended outcomes
- Operational Compliance Model: Five-layer system-of-systems architecture from governance to controls/measures
- Minimal Viable Compliance (MVC): Essential functions operational quickly, then improved through validated learning cycles

### 7. Lean Methods & Measures

Apply lean principles to eliminate compliance waste while building Compliance 2.0 capabilities through systematic measurement and continuous improvement.

- Methods of Regulation: Five cybernetic laws governing effective regulation including Good Regulator and Requisite Variety theorems
- Measures of Success: TCM five-category metrics framework—adherence, conformance, performance, effectiveness, integrity
- Compliance Skills: Integration of traditional expertise with lean principles, systems thinking, and cybernetic frameworks
- Hoshin Kanri: Strategic policy deployment with X-Matrix for visual management and catch-ball alignment
- Value Chain Analysis: Total value chain assessment and compliance stream mapping for waste elimination
- Continuous Improvement: PDCA, DMAIC, and Kata approaches treating uncertainty as improvement triggers

### 8. Compliance Implementation

Deploy proven methodologies for systematic compliance 2.0 transformation with sustainable results and certification readiness.

- Methodologies: Four proven approaches—gated, agile, lean startup, continuous improvement—matched to regulatory types
- ISO 37301 (CMS): Type A management standard providing certifiable framework with enhanced requirements for governance
- Lean Startup: Build-measure-learn cycles creating Minimal Viable Compliance with validated learning and rapid iteration

## WORKSHOP DETAILS

<b>Date &amp; Time:</b> February 11th, 2026, Full-day intensive workshop	<b>Format:</b> Live online workshop Interactive and engaging
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## KEY OUTCOMES

- Master the breakthrough application of Promise Theory to better manage organizational obligations and stakeholder commitments
- Experience the fundamental transition from Compliance 1.0 to Compliance 2.0 paradigm
- Transform compliance from reactive burden into organizational capabilities that drive mission success
- Apply lean principles to eliminate compliance waste while building systematic prevention capabilities
- Implement Total Compliance Management (TCM) framework with Performance Pillars: Alignment, Assurance, and Accountability
- Design operational compliance through five-layer system architecture and Minimal Viable Compliance (MVC) approaches
- Deploy cybernetic regulation principles, systematic measurement frameworks, and continuous improvement methodologies
- Achieve practical implementation readiness through proven methodologies including ISO 37301 and Lean Startup cycles

## INSTRUCTOR

**Raimund Laqua, P.Eng., PMP – Founder, Lean Compliance Consulting | Co-founder, ProfessionalEngineers.AI**

Raimund brings over 30 years of engineering and compliance expertise across highly regulated industries including oil & gas, medical devices, pharmaceuticals, aerospace, nuclear, financial services, and government sectors. As a Professional Engineer and Project Management Professional, he has developed the Lean Compliance methodology since 2017, applying lean manufacturing principles, cybernetics, and Promise Theory to transform compliance operations from Compliance 1.0 to Compliance 2.0 paradigms

### Professional Leadership:

- Serves on ISO's ESG working group contributing to international environmental, social, and governance standards
- Member of OSPE's AI in Engineering committee advancing artificial intelligence applications in professional practice
- Chairs the AI Committee for Engineers for the Profession (E4P), advocating for federal licensing of digital engineering disciplines in Canada
- Recognized thought leader in operational compliance transformation and cybernetic regulation principles

### Expertise:

Raimund's unique approach integrates lean manufacturing waste elimination principles with compliance management, treating uncertainty as the process equivalent of manufacturing variation. His Lean Compliance methodology transforms compliance from external burden to competitive advantage, helping organizations achieve mission success through Total Value creation while staying "on-mission, between the lines, and ahead of risk."

**Ready to lead your Compliance 2.0 transformation?**

Learn more: [www.leancompliance.ca/lean-compliance-leadership-program](http://www.leancompliance.ca/lean-compliance-leadership-program)