

LEAN COMPLIANCE

THE LEAN COMPLIANCE ACADEMY™

Elevate Your Talent



Elevating Safety, Security, Sustainability, Environmental, Quality, HealthCare, ESG , Regulatory, and Corporate Compliance.

www.leancompliance.ca

LEAN COMPLIANCE

OPERATIONAL COMPLIANCE

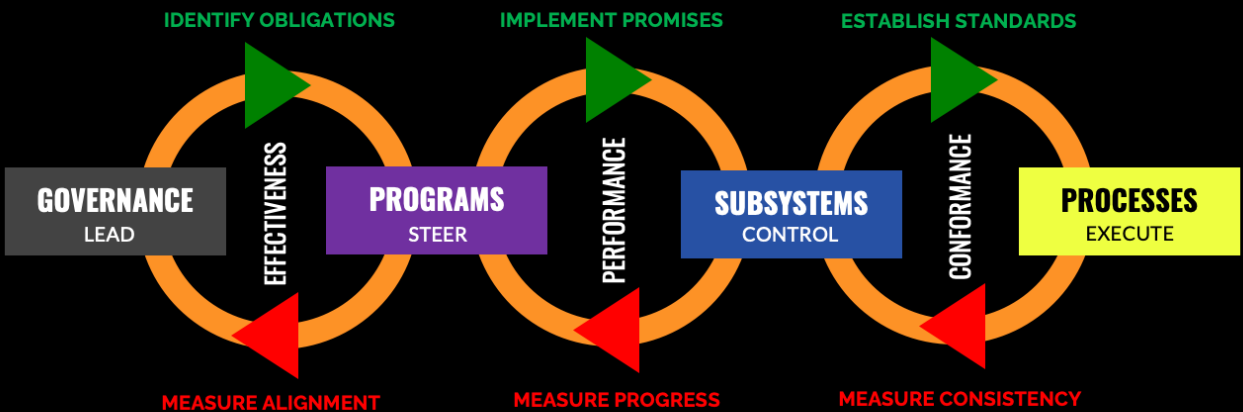
For Compliance To Be Effective
It Must First Be Operational

Learn what operational compliance is and why it is necessary to realize compliance outcomes. Gain understanding of essential building blocks, behaviors, and interactions needed to achieve compliance operability, and how to use an operational model to improve alignment, performance, and consistency with your obligations.

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Certificate Upon Completion
- ✓ Teams of 4 or less

FEED-FORWARD

LEADING INDICATORS AND ACTIONS



LAGGING INDICATORS AND ACTIONS

FEED-BACK

www.leancompliance.ca

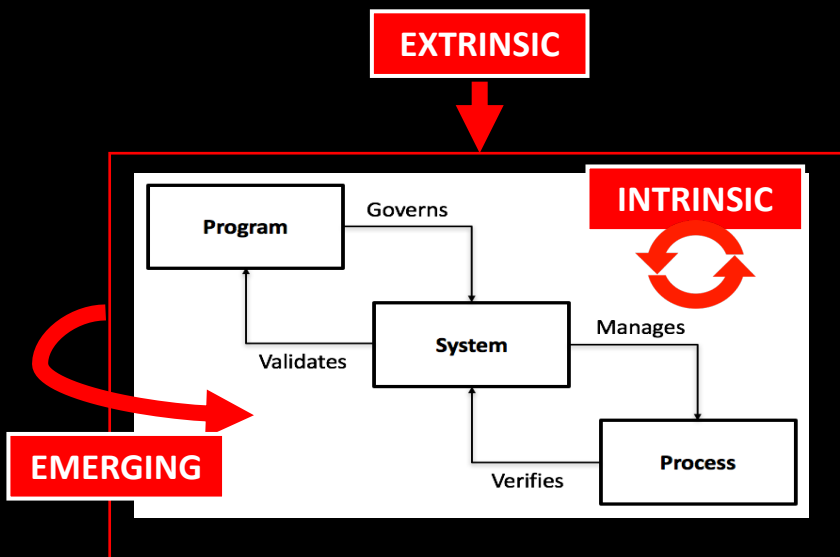
LEAN COMPLIANCE

OPERATIONAL RISK

For Compliance to Succeed You Must Defeat the Dragon of Uncertainty

Learn how to improve the probability of meeting all your program goals and objectives in the presence of uncertainty. This course will teach you the nature of risk, four types of uncertainty and how they create the opportunity for risk, the five immutable principles of compliance program success, program pre-mortems and the bow-tie analysis to create a compliance risk plan

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Certificate Upon Completion
- ✓ Teams of 4 or less



LEAN COMPLIANCE

OPERATIONAL GOVERNANCE

To Govern Compliance You Must Learn To Steer

Learn how to steer (regulate) purposeful systems (machines and living things) towards specific ends or conditions. This course will teach you the fundamentals of governance applied to compliance programs, systems, processes, and people.

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Certificate Upon Completion
- ✓ Teams of 4 or less



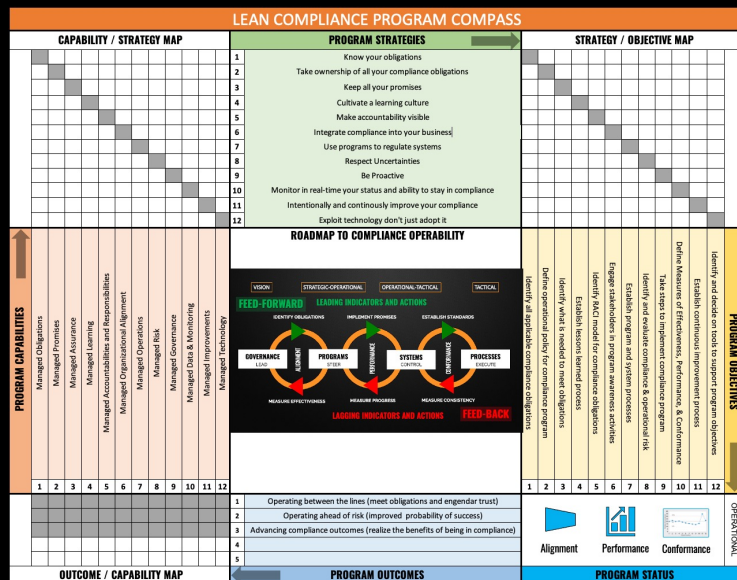
LEAN COMPLIANCE

LEAN FOR COMPLIANCE

Accomplish More with the Resources You Already Have

Learn essential LEAN concepts and tools for compliance. Topics covered include X-Matrix, Hoshin Kanri, Lean problem solving, and others to eliminate waste, and achieve more with the resources you already have.

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Certificate Upon Completion
- ✓ Teams of 4 or less



LEAN COMPLIANCE

PROGRAM SUCCESS

Plan Program for Success

Facilitator led workshops to develop risk-based compliance plan for your program based on the 5 principles of program success.

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Teams of 4 or less



1. What does compliance success look like?



2. What is our strategy to realize and sustain compliance success?



3. What do we need to execute our strategy?



4. What obstacles or opportunities need to be handled?



5. How will we measure our progress?

LEAN COMPLIANCE

PROGRAM SHIELD

Increase Probability of Program Success

Facilitator led Program Pre-mortem to identify areas of risk and opportunities. Identify problems before they become issues to avoid failure and ensure success.

- ✓ Four 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Weekly Assignments
- ✓ Teams of 4 or less

1. Introduce

- Introduce pre-mortem (open and honest discussion and imagine that the program failed, and that it succeeded)

2. Debrief

- Debrief discussions (all team members describe why the program failed, and why the program succeeded)

3. Prioritize

- Prioritize risk (separate into reducible and irreducible uncertainties)

4. Brainstorm

- Brainstorm handling strategies (identify steps to buy down risk or address with margins)

5. Document

- Create Risk-adjusted Plan, Update Risk Register

PROGRAM PULSE

Monthly coaching sessions for compliance program owners and managers focused on improving operability and effectiveness of their compliance. Based on the 12 Principles of Compliance Operability.

- ✓ Twelve 2-Hour Zoom Sessions
- ✓ Coach-led Instruction
- ✓ Individual Training
- ✓ Problem Solving
- ✓ Individual and Groups



LEAN COMPLIANCE



Our mission is to help organizations achieve significantly better compliance outcomes with greater certainty, reduced risk, and minimal waste. We achieve this by harnessing Lean principles, along with proactive, integrative and holistic strategies to meet compliance challenges.

Our clients are forward-looking, ethical organizations that strive to always stay between the lines and ahead of risk associated with meeting all their obligations and stakeholder commitments.

Lean Compliance consists of a body of knowledge, methodology, programs, and services provided by a network of experts and practitioners dedicated to modernizing compliance across the world.

[Schedule a Discovery Call](#)

[**www.leancompliance.ca**](http://www.leancompliance.ca)