

LEAN COMPLIANCE



OPERATIONAL COMPLIANCE

MASTERCLASS TRAINING

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FOUNDER, CHIEF COMPLIANCE ENGINEER

LEAN COMPLIANCE

Operational Compliance Masterclass



*Between policies and audits
is where compliance happens.*

*This is the practice of operational compliance
where keeping organizations ahead of risk,
between the lines, and on-mission are the
measures of success.*

Instructor Led, Remote Training

To Compliance Managers, Practitioners, and Professionals:

As those responsible for compliance, you cannot afford to let you or your teams continue operating with outdated compliance approaches that leave organizations vulnerable to risks across safety, security, sustainability, quality, ethics, legal, environmental, and responsible AI domains.

Traditional procedural/audit-based compliance creates a false sense of security while real risks compound daily across all these critical areas.

Your teams need operational compliance training not just to check a box, but to fundamentally shift how your organization manages interconnected obligations and risks in real-time—from worker safety and environmental violations to data privacy and responsible AI.

Every day your teams operate without these capabilities, you're one step away from reputational damage, regulatory penalties, environmental disasters, safety incidents, or worse—harm to the people and communities your organization serves.

This isn't about going through the motions; it's about building the operational capabilities your organization needs to keep them between the lines and ahead of rapidly evolving risks across every aspect that matters to your stakeholders.

The cost of inaction far exceeds the investment in proper training, and the window for proactive action is closing as risks in safety, security, sustainability, quality, ethics, legal, environmental, and AI domains accelerate faster than traditional audit cycles can detect them.

This course will prepare you with the knowledge and understanding you need to move beyond compliance activity to compliance outcomes – more value, less waste, and greater assurance.

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MASTERCLASS OVERVIEW



This masterclass is designed for compliance leaders, professionals, and practitioners ready to advance their knowledge and expertise to improve the probability of both compliance and mission success.

Led by Raimund Laqua, P.Eng (Founder of Lean Compliance), this masterclass draws from over 30 years of hands-on experience across highly regulated, high-risk industries—energy, oil and gas, chemical, pharmaceuticals, medical devices, finance, government agencies, and high-tech organizations.

In these sectors, organizational success depends on three critical capabilities:

1. Staying ahead of risk,
2. Operating between the lines, and
3. Remaining on-mission at all times.

Traditional paper-based policies and periodic audits—known as procedural compliance—simply can't keep pace with modern regulatory complexity.

Today's standards and regulations demand compliance that is operational: real-time, integrative, and proactive.

This creates a critical gap between written policies and formal audits—the operational space where actual compliance work happens continuously within and across your value chain. This is the practice of Operational Compliance.

Through this masterclass, you'll learn industry principles and practices that leading organizations use to achieve mission success, mitigate risks, and stay ahead of emerging challenges across safety, security, sustainability, quality, ethics, legal compliance, ESG, GRC, and AI Compliance.

Learn how to transform compliance from a reactive function into a strategic advantage that drives organizational performance and protects long-term value.

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MASTERCLASS OUTLINE

- 8 Hours + Independent reading
- Instructor Led
- Live over Zoom

TOPIC	DESCRIPTION
SECTION A: FOUNDATIONS	
Compliance Purpose	Telos of Compliance, The Act of Regulation, Regulatory Design
Operational Compliance	Obligations, Promises, & Obligation Owners
Compliance Cultures	Culture & Climate, Cultures of Compliance, Compliance 1 & 2
Uncertainty and Risk	Nature of Uncertainty, Taxonomy of Risk, & Compliance Criticality
SECTION B: PRACTICES	
Managed Compliance	Total Compliance Management (TCM), Policy Management, & Performance Pillars
Managed Operability	Concepts of Operability, Operational Compliance Model, & Minimal Viable Compliance
Methods, Measures, & Skills	Methods of Regulation, Measures of Success, & Compliance Skills
Compliance Implementation	Methodologies, ISO 37301 (CMS), & Lean Startup, Hoshin Kanri / X-Matrix
COMPLIANCE CERTIFICATIONS	
Certificate of Completion (CoC)	Operational Compliance Masterclass Attendance
Certificate of Achievement (CoA)	CoC + Online Exam Passing Grade
Certificate of Mastery (CoM)	CoA + Transformation Project Assessment by Lean Compliance

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INSTRUCTOR



Raimund Laqua, P.Eng, PMP brings over three decades of expertise in operational compliance across North America's most challenging regulatory environments.

As a professional engineer with a bachelor's degree in electrical/computer engineering from McMaster University, he has guided organizations in oil & gas, energy, pharmaceuticals, medical devices, government, and technology sectors through complex compliance transformations.

As Founder and Chief Compliance Engineer at Lean Compliance Consulting, Inc., Raimund pioneered the operational compliance methodology that bridges the critical gap between policies and audits. He is also co-founder of ProfessionalEngineers.AI, positioning him at the forefront of AI governance and responsible technology

implementation.

Raimund's industry leadership extends beyond consulting. He serves as AI Committee Chair for Engineers for the Profession (E4P) and actively contributes to influential working groups including ISO ESG, OSPE AI, and Operational Excellence initiatives. This involvement keeps him connected to emerging industry trends and best practices across multiple sectors.

A recognized thought leader, Raimund authors weekly insights on operational compliance and is completing his upcoming book on the subject. He is a sought-after speaker on lean methodologies, project management, risk management, compliance strategy, and artificial intelligence governance.

His unique combination of engineering insight, decades of hands-on consulting experience, and forward-thinking approach to compliance makes him uniquely qualified to help organizations build compliance programs that fulfill their purpose to ensure and protect value creation.

LinkedIn: <https://www.linkedin.com/in/raimund-laqua/>

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CERTIFICATIONS

Certificate of Completion:

The Certificate of Completion recognizes your active participation and engagement throughout the operational compliance masterclass sessions.

You'll complete the pre-reading materials, attend and participate in all masterclass sessions, and engage with discussions and learning activities. Throughout the course, you'll complete quizzes that reinforce key concepts and ensure you're staying current with the operational compliance principles and practices being presented.

Certificate of Achievement: (sold separately)

The Certificate of Achievement validates your knowledge and understanding of operational compliance principles and practices through a comprehensive online examination.

You'll complete an online exam that tests your understanding of core operational compliance concepts, methodologies, and best practices covered throughout the masterclass. The examination features knowledge assessment along with scenario-based questions designed to evaluate both your theoretical knowledge and your ability to apply operational compliance thinking to real-world situations.

To earn your Certificate of Achievement, you must pass the examination by demonstrating understanding across all core areas of operational compliance. This certificate confirms you have the foundational knowledge to apply operational compliance principles in your professional work.

Certificate of Mastery: (sold separately)

The Certificate of Mastery is your opportunity to demonstrate real-world application of operational compliance principles through a hands-on project that creates measurable impact.

Working directly with Raimund Laqua, you'll identify and scope a compliance improvement initiative within your organization. We'll collaborate to establish clear assessment criteria that align with your specific operational challenges, ensuring your project delivers genuine value while testing the methodologies we've covered in the masterclass.

You'll document your implementation journey through a retrospective report, showcasing how you applied operational compliance principles, the challenges you navigated, and the outcomes you achieved. This report demonstrates both your understanding and practical execution capabilities.

Raimund Laqua will personally review your retrospective, evaluating how effectively you've applied operational compliance principles and practices. Your Certificate of Mastery will reflect your proven ability to drive meaningful compliance improvements in real operational environments.

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ADDITIONAL INFORMATION

Course Materials:

- Reading materials will be sent one week prior to the masterclass session

Course Fees:

- Certificate of Completion: \$ 800 Canadian
- Other certificates sold separately